



PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of )

Walton et al. )

Serial No. 10/693,429 )

Filed: October 23, 2003 )

For: MIMO System with Multiple Spatial  
Multiplexing Modes

) Group No. Unknown

## INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicants submit herewith, in accordance with 37 CFR § 1.98, the patents, publications and other information listed on the enclosed Form PTO-1449.

## CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

## MAILING

- ☒ deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Depositor's Name Christine Hughey  
(type or print name)

Signature: Christine HugheyDate: August 26, 2004

## FACSIMILE

- ☐ transmitted by facsimile to the Patent and Trademark Office.

Depositor's Name \_\_\_\_\_  
(type or print name)

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

The references submitted herewith are those of which Applicants are aware, which they believe may be material to the examination of this application and, with respect to which there may be a duty to disclose in accordance with 37 CFR § 1.56. While the references identified may be material to the examination of this application pursuant to 37 CFR § 1.56, the citation of these references is not intended to constitute an admission that any reference referred to herein is prior art to the invention of this application, unless specifically designated as such. Additionally, the filing of this Information Disclosure Statement shall not be construed to mean that any search has been made or, that if made, such search was complete or exhaustive, or that no other material information as defined in 37 CFR § 1.56 exists.

In accordance with 37 CFR § 1.97(b)(3), this Information Disclosure Statement is being submitted before mailing of the first Office Action on the merits. Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application.

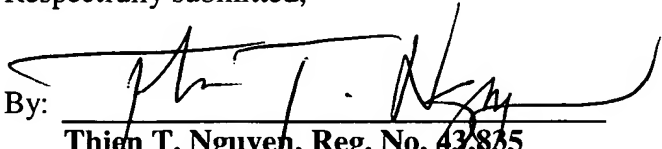
A list of the references is set forth on Form PTO-1449, which is enclosed herewith, along with a copy of each cited foreign reference (copies of U.S. references are not enclosed). Applicants respectfully request that the Examiner return to Applicants a copy of the Form PTO-1449 indicating consideration of the references cited thereon.

Applicants do not believe that any fees are due. If, however, it is determined that fees are owed, Applicants hereby authorize that such fees be charged to Deposit Account No. 17-0026.

Respectfully submitted,

Dated: August 26, 2004

By:

  
Thien T. Nguyen, Reg. No. 43,835  
(858) 651-6137

QUALCOMM Incorporated  
Attn: Patent Department  
5775 Morehouse Drive  
San Diego, California 92121-1714  
Telephone: (858) 658-5787  
Facsimile: (858) 658-2502

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 030417	APPLICATION NO. 10/693,429
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	APPLICANT Walton et al.	
DATE MAILED: August 26, 2004	FILING DATE October 23, 2003	GROUP Unknown

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	Ref No	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPRO- PRIATE
	A1	6,351,499 B1	02/26/2002	Paulraj et al.			
	A2						
	A3						
	A4						
	A5						
	A6						
	A7						
	A8						
	A9						
	A10						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	Ref No	DOCUMENT NUMBER	DATE	COUNTRY	NAME	CLASS	SUB CLASS
	B1	EP 1 182 799 A2	02/27/2002	EP	Lucent Technologies Inc.		
	B2						
	B3						
	B4						
	B5						

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Page, Etc.)

	C1	
	C2	
	C3	
EXAMINER		DATE CONSIDERED
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>		